

Securities and Exchange Board of India

Annexure — B

Format for Investor Complaints Data to be displayed by Stock Brokers on their Respective websites

Data for every month ending

S N	Receive d from	Carried forward from previous month	Received during the month	Total Pending	Resolve	Pending at the end of the month**		Average Resolution time (in days)
						Pending for less than 3 month s	Pending for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil		Nil
2	SEB' (SCORE S)	Nil	Nil	Nil	Nil	Nil		Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil		Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil		Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil		Nil

Trend of monthly disposal of complaints

SN	Month	Carried from month	forward previous	Received	Resolved*	Pending**
1	April - 2022	Nil		Nil	Nil	Nil
2	May - 2022	Nil		Nil	Nil	Nil
3	June - 2022	Nil		Nil	Nil	Nil
4	July - 2022	Nil		Nil	Nil	Nil
5	Aug - 2022	Nil		Nil	Nil	Nil
6	Sep - 2022	Nil		Nil	Nil	Nil
7	Oct - 2022	Nil		Nil	Nil	Nil

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8	Nov - 2022	Nil	Nil	Nil	Nil
9	Dec – 2022	Nil	Nil	Nil	Nil
10	Jan – 2023	Nil	Nil	Nil	Nil
11	Feb - 2023	Nil	Nil	Nil	Nil
12	Mar - 2023	Nil	Nil	Nil	Nil
14	Apr – 2023	Nil	Nil	Nil	Nil
15	May – 2023	Nil	Nil	Nil	Nil
16	June - 2023	Nil	Nil	Nil	Nil
17	July – 2023	Nil	Nil	Nil	Nil
18	Aug – 2023	Nil	Nil	Nil	Nil
19	Sep – 2023	Nil	Nil	Nil	Nil
20	Oct – 2023	Nil	Nil	Nil	Nil
21	Nov – 2023	Nil	Nil	Nil	Nil
22	Dec - 2023	Nil	Nil	Nil	Nil
23	Jan – 2024	Nil	Nil	Nil	Nil
24	Feb – 2024	Nil	Nil	Nil	Nil
25	Mar – 2024	Nil	Nil	Nil	Nil
26	Apr – 2024	Nil	Nil	Nil	Nil
27	May - 2024	Nil	Nil	Nil	Nil
28	Jun - 2024	Nil	Nil	Nil	Nil
29	Jul - 2024	Nil	Nil	Nil	Nil
30	Aug - 2024	Nil	Nil	Nil	Nil
31	Sep – 2024	Nil	Nil	Nil	Nil
32	Oct - 2024	Nil	Nil	Nil	Nil
33	Nov - 2024	Nil	Nil	Nil	Nil
34	Dec - 2024	Nil	Nil	Nil	Nil
35	Jan - 2025	Nil	Nil	Nil	Nil
36	Feb -2025	Nil	Nil	Nil	Nil
37	Mar - 2025	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-2018	Nil	Nil	Nil	Nil
2	2018-2019	Nil	Nil	Nil	Nil
3	2019-2020	Nil	Nil	Nil	Nil
4	2020-2021	Nil	Nil	Nil	Nil
5	2021-2022	Nil	Nil	Nil	Nil
6	2022-2023	Nil	Nil	Nil	Nil
7	2023-2024	Nil	Nil	Nil	Nil
8	2024-2025	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil